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3		Application Number	10/743,	
TRANSMITTAL FORM		Filing Date	Decem	ber 22, 2003
FORM		First Named Inventor	Rocco I	Dean Gogliotti, et al
(to be used for all correspondence after initial fi	iling)	Art Unit	Not Ye	t Known
•		Examiner Name	Not Ye	t Known
Total Number of Pages in This Submission		Attorney Docket Number	PC	25547A
	ENCL	OSURES (Check all that	t apply)	
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	TURE O	F APPLICANT, ATTORN	EY, OR	AGENT
Firm or Eric J. Baude #47,413				
Signature & Y but				
Date 05/03/2004				

# Typed or printed Cicely M. Bohms Signature CERTIFICATE OF TRANSMISSION/MAILING CERTIFICATE OF TRANSMISSION/MAILING Leading 1 And 1 Annual State Postal Service with sufficient postage as first class mail in an envelope addressed to:Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450 on this date 05/03/2004 Date 05/03/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/743,852

Applicant:

Rocco Dean Gogliotti, et al

Filed:

December 22, 2003

Title:

Benzoxazines and derivatives thereof as therapeutic agents

TC/A.U.:

TBD

Examiner:

TBD

Docket No.:

PC25547A

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed before the mailing of the first Office Action on the merits.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided except for issued United States patents or published patent applications.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: May 3, 2004

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/743,852				
Filing Date	December 22, 2003				
First Named Inventor	Rocco Dean Gogliotti, et al				
Art Unit	Not Yet Known				
Examiner Name	Not Yet Known				
Attorney Docket Number	PC'25547A				

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages Columns Lines Whore
Initials*	Cite No.1	Number-Kind Code <sup>2 (f known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Ī.	<sup>US-</sup> 6,355,664	03-12-2002	Boehringer	
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		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
		WO 04/007491	01-22-2004	Applied Research		
	03-12-2002	WO 00/76987	12-21-2000	Warner-Lambert		
		WO 00/76988	12-21-2000	Warner-Lambert		
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Examiner		Date	
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Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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